| SEC Form 4 | |
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden

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|) | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b) | |
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| | Instruction 1(b). | |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Addr Faust Megar | ess of Reporting Perso <u>1</u> | n* | 2. Issuer Name and Ticker or Trading Symbol <u>AMKOR TECHNOLOGY, INC.</u> [AMKR] | (Check | tionship of Reporting Pers (all applicable) Director Officer (give title | son(s) to Issuer 10% Owner Other (specify |
|---------------------------------|---|-------|--|------------------------|---|---|
| (Last) 2045 E INNOV | (Last) (First) (Middle) 2045 E INNOVATION CIRCLE | | 3. Date of Earliest Transaction (Month/Day/Year) 09/16/2021 | | below) | below) |
| (Street) TEMPE | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) X | idual or Joint/Group Filing Form filed by One Rep Form filed by More that Person | orting Person |
| (City) | (State) | (Zip) | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--|---|------------------------------|---|--|---------------|---|---|---|----------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1130.4) |
| Common Stock | 09/16/2021 | | М | | 4,500 | A | \$8.88 | 19,344 | D | |
| Common Stock | 09/16/2021 | | М | | 500 | A | \$9.48 | 19,844 | D | |
| Common Stock | 09/16/2021 | | S ⁽¹⁾ | | 5,000 | D | \$28.63 ⁽²⁾ | 14,844 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1 | | | | | | | | | | | | | | | |
|---|---|--|---|------------------------------|---|--|-------------------------|--|--------------------|--|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deri Sec Acq (A) (Disp of (I | oosed D) tr. 3, 4 | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Employee Stock Option (Right-to- Buy) | \$8.88 | 09/16/2021 | | м | | | 4,500 | 09/12/2017 ⁽³⁾ | 09/12/2026 | Common Stock | 4,500 | \$0.00 | 0 | D | |
| Employee Stock Option (right-to- Buy) | \$9.48 | 09/16/2021 | | м | | | 500 | 02/15/2020 ⁽⁴⁾ | 02/15/2029 | Common Stock | 500 | \$0.00 | 149,500 | D | |

Explanation of Responses:

1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 11, 2021.

2. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$28.45 to \$28.73. The Reporting Person hereby undertakes to provide, upon request, to the staff of the Securities and Exchange Commission, Amkor Technology, Inc. (the "Issuer"), or any security holder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.

3. This stock option (the "2016 Option") to acquire 75,000 shares of the Issuer's common stock (the "2016 Option Shares") was granted on September 12, 2016 (the "2016 Grant Date") and vested over four years as follows: (i) with respect to 25% of the 2016 Option Shares, on the first anniversary of the 2016 Grant Date; and (ii) with respect to the remainder of the 2016 Option Shares, in equal quarterly installments thereafter, such that 100% of the 2016 Option became vested on the fourth anniversary of the 2016 Grant Date.

4. This stock option (the "2019 Option") to acquire 150,000 shares of the Issuer's common stock (the "2019 Option Shares") was granted on February 15, 2019 (the "2019 Grant Date") and vests over four years as follows: (i) with respect to 25% of the 2019 Option Shares, on the first anniversary of the 2019 Grant Date; and (ii) with respect to the remainder of the 2019 Option Shares, in equal quarterly installments thereafter, such that 100% of the 2019 Option will vest on the fourth anniversary of the 2019 Grant Date.

Remarks:

Mark N. Rogers, Attorney-in-09/20/2021 Fact for Megan Faust

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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